



# ADVIK LABORATORIES LIMITED

**Dated: 13-04-2021**

**To,  
The Manager (Listing),  
BSE Limited,  
1<sup>st</sup> Floor, P. J. Towers,  
Dalal Street, Mumbai - 400001**

**Subject: Submission of Shareholding Pattern for the quarter and year ended 31<sup>st</sup> March, 2021**

**Ref: BSE Scrip Code- 531686; ASE Scrip Code -01636 (ADVIK LABO)**

**Dear Sir,**

Please find enclosed herewith the Shareholding Pattern for the quarter and year ended 31<sup>st</sup> March, 2021 in the prescribed format as per the requirements of Regulation 31(1) (b) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 for your kind perusal.

We hope that you will find the above in order.

This is for your information and records please.

Thanking You.

**Yours Faithfully,**

For Advik Laboratories Limited

  
Pooja Chuni  
Company Secretary



**CC:**

**The Manager (Listing),  
Ahmedabad Stock Exchange Limited,  
1<sup>st</sup> Floor, Kamdhenu Complex,  
Opp. Sahajanand College,  
Panjara Pole, Ahmedabad - 380015**

**Encl: As Above**

General information about company	
Scrip code	531686
NSE Symbol	N.A.
MSEI Symbol	N.A.
ISIN	INE537C01019
Name of the company	ADVIK LABORATORIES LIMITED
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	31-03-2021
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)
Whether the listed entity is Public Sector Undertaking (PSU)?	No

Declaration					
Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter-Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	No	No	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	Yes	Yes		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	Yes			

**Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	2	6859481			6859481	35.89	6859481		6859481	35.89
(B)	Public	4598	12251919			12251919	64.11	12251919		12251919	64.11
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	4600	19111400			19111400	100	19111400		19111400	100

**Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group				35.89			1100000	16.04	6859481
(B)	Public				64.11					12240639
(C)	Non Promoter-Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total				100			1100000	5.76	19100120

<b>Table II - Statement showing shareholding pattern of the Promoter and Promoter Group</b>											
Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(a)	Individuals/Hindu undivided Family	1	1957159			1957159	10.24	1957159		1957159	10.24
(d)	Any Other (specify)	1	4902322			4902322	25.65	4902322		4902322	25.65
Sub-Total (A)(1)		2	6859481			6859481	35.89	6859481		6859481	35.89
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		2	6859481			6859481	35.89	6859481		6859481	35.89
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(3)	Non-institutions										
(a(i))	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	4494	3857044			3857044	20.18	3857044		3857044	20.18
(a(ii))	Individuals - ii. Individual	25	2395023			2395023	12.53	2395023		2395023	12.53

	shareholders holding nominal share capital in excess of Rs. 2 lakhs.										
(b)	NBFCs registered with RBI	1	110280			110280	0.58	110280		110280	0.58
(e)	Any Other (specify)	78	5889572			5889572	30.82	5889572		5889572	30.82
Sub-Total (B)(3)		4598	12251919			12251919	64.11	12251919		12251919	64.11
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		4598	12251919			12251919	64.11	12251919		12251919	64.11
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total (A+B+C2)		4600	19111400			19111400	100	19111400		19111400	100
Total (A+B+C)		4600	19111400			19111400	100	19111400		19111400	100

<b>Table II - Statement showing shareholding pattern of the Promoter and Promoter Group</b>									
Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(a)				10.24			0	0	1957159
(d)				25.65			1100000	22.44	4902322
Sub-Total (A) (1)				35.89			1100000	16.04	6859481
(2)	Foreign								
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A) (2)				35.89			1100000	16.04	6859481
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
( 3 )	Non-institutions								
(a(i))				20.18					3845764
(a(ii))				12.53					2395023
(b)				0.58					110280
(e)				30.82					5889572
Sub-Total (B) (3)				64.11					12240639

Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)				64.11					12240639
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
Total (A+B+C2 )				100					19100120
Total (A+B+C )				100			1100000	5.76	19100120
Disclosure of notes on shareholding pattern								Textual Information(1)	

### Text Block

Textual Information()

During the quarter under review, M/s Omkam Pharmaceuticals Pvt Ltd, promoter of the Company, has revoked the pledge of 37,81,147 equity shares on 11th March, 2021 out of the total 48,81,147 shares pledged or otherwise encumbered in the past, necessary disclosures of which had already been submitted with the Exchange. At present, i.e. as on 31st March, 2021, only 11,00,000 equity shares in total of the Company are pledged.

<b>Individuals/Hindu undivided Family</b>		
Searial No.	1	
Name of the Shareholders (I)	PEEYUSH KUMAR AGGARWAL	<a href="#">Click here to go back</a>
PAN (II)	AACPA6470C	Total
No. of fully paid up equity shares held (IV)	1957159	1957159
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	1957159	1957159
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	10.24	10.24
<b>Number of Voting Rights held in each class of securities (IX)</b>		
Class eg:X	1957159	1957159
Class eg:y		
Total	1957159	1957159
Total as a % of Total Voting rights	10.24	10.24
No. Of Shares Underlying Outstanding convertible securities (X)		

No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	10.24	10.24
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of Shares pledged or otherwise encumbered (XIII)		
No. (a)	0	0
As a % of total Shares held (b)	0	0
Number of equity shares held in dematerialized form (XIV)	1957159	1957159
Reason for not providing PAN		
Reason for not providing PAN		
Shareholder type	Promoter Group	

Any Other (specify)		
Serial No.	1	
Category	Bodies Corporate	<a href="#">Click here to go back</a>
Name of the Shareholders (I)	OMKAM PHARMACEUTICALS PRIVATE LIMITED	
PAN (II)	AABCO5569J	Total
No. of the Shareholders (I)	1	1
No. of fully paid up equity shares held (IV)	4902322	4902322
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	4902322	4902322
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	25.65	25.65
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	4902322	4902322
Class eg:y		
Total	4902322	4902322
Total as a % of Total Voting rights	25.65	25.65
No. Of Shares Underlying		

Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	25.65	25.65
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of Shares pledged or otherwise encumbered (XIII)		
No. (a)	1100000	1100000
As a % of total Shares held (b)	22.44	22.44
Number of equity shares held in dematerialized form (XIV)	4902322	4902322
Reason for not providing PAN		
Reason for not providing PAN		
Shareholder type	Promoter	

<b>Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.</b>		
Serial No.	1	
Name of the Shareholders (I)	SANGEETHA S	<a href="#">Click here to go back</a>
PAN (II)	AIJPS3739F	Total
No. of fully paid up equity shares held (IV)	1282992	1282992
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	1282992	1282992
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	6.71	6.71
<b>Number of Voting Rights held in each class of securities (IX)</b>		
Class eg: X	1282992	1282992
Class eg:y		
Total	1282992	1282992
Total as a % of Total Voting rights	6.71	6.71
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares		

Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	6.71	6.71
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	1282992	1282992
Reason for not providing PAN		
Reason for not providing PAN		

Any Other (specify)						
Serial No.	1	2	3	4	5	6
Category	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Non-Resident Indian (NRI)	Clearing Members
Category / More than 1 percentage	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	Category	Category	Category
Name of the Shareholders (I)	PATALIPUTRA INTERNATIONAL LIMITED	DHIRU BUILDERS AND PROMOTERS PVT LTD	SAMPARK SECURITIES PVT LTD			
PAN (II)	AAACP4476E	AADCD1480F	AAOCS5885Q			
No. of the Shareholders (I)	1	1	1	41	22	12
No. of fully paid up equity shares held (IV)	2629000	2605270	203229	419198	14391	18484
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	2629000	2605270	203229	419198	14391	18484
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	13.76	13.63	1.06	2.19	0.08	0.1
Number of Voting Rights held in each class of securities (IX)						
Class eg: X	2629000	2605270	203229	419198	14391	18484
Class eg:y						
Total	2629000	2605270	203229	419198	14391	18484

Total as a % of Total Voting rights	13.76	13.63	1.06	2.19	0.08	0.1
No. Of Shares Underlying Outstanding convertible securities (X)						
No. of Shares Underlying Outstanding Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)						
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	13.76	13.63	1.06	2.19	0.08	0.1
Number of Locked in shares (XII)						
No. (a)						
As a % of total Shares held (b)						
Number of equity shares held in dematerialized form (XIV)	2629000	2605270	203229	419198	14391	18484
Reason for not providing PAN						
Reason for not providing PAN						

<b>Any Other (specify)</b>	
Serial No.	
Category	
Category / More than 1 percentage	
Name of the Shareholders (I)	Click here to go back
PAN (II)	Total
No. of the Shareholders (I)	75
No. of fully paid up equity shares held (IV)	452073
No. Of Partly paid-up equity shares held (V)	
No. Of shares underlying Depository Receipts (VI)	
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	452073
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	2.37
<b>Number of Voting Rights held in each class of securities (IX)</b>	
Class eg: X	452073
Class eg:y	
Total	452073
Total as a % of Total Voting rights	2.37

No. Of Shares Underlying Outstanding convertible securities (X)	
No. of Shares Underlying Outstanding Warrants (Xi)	
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	2.37
Number of Locked in shares (XII)	
No. (a)	
As a % of total Shares held (b)	
Number of equity shares held in dematerialized form (XIV)	452073
Reason for not providing PAN	
Reason for not providing PAN	

**significant beneficial owners**

Sr. No.	Details of the SBO					Details of the registered owner					Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*				
	Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Whether by virtue of:				
											Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence
1	PEEYUSH KUMAR AGGARWAL	AACPA6470C		India		OMKAM PHARMACEUTICALS PVT LTD	AABCO5569J		India		25.65	25.65	25.65	Yes	Yes
<b>Total:</b>											<b>25.65</b>	<b>25.65</b>	<b>25.65</b>		