



# ADVIK LABORATORIES LIMITED

**Dated: 17/11/2022**

**To,  
The Manager (Listing),  
BSE Limited,  
1st Floor, P. J. Towers,  
Dalal Street,  
Mumbai – 400001**

**Subject: Compliance Certificate for the Quarter ended September 30, 2022**

**Ref: BSE Scrip Code– 531686; ASE Scrip Code– 01636 (ADVIK LABO)**

**Dear Sir,**

Pursuant to provisions of Regulation 3(5), 3(6) and other applicable provisions of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015, please find enclosed the Structured Digital Database (SDD) Compliance Certificate for the Quarter ended September 30, 2022.

This is for your information and records please.

Thanking You.

**Yours Faithfully,  
For Advik Laboratories Limited**

**POOJA CHUNI** Digitally signed by POOJA CHUNI  
Date: 2022.11.17 21:09:05 +05'30'

**Pooja Chuni  
Company Secretary**

**Encl : As Above**

**CC:**

**The Manager (Listing)  
Ahmedabad Stock Exchange Ltd.,  
1st Floor, Kamdhenu Complex,  
Opp. Sahajanand College, Panjarapole,  
Ambawadi, Ahmedabad - 380015**

**CIN No. : L74899HR1994PLC038300**

**Corporate Office : 703, Arunachal building 19, Barakhamba Road, Connaught Place, New Delhi 110001**

**Phones: 011-42424884, 43571040-45, Fax: 011-43571047**

**Regd. Office & Factory: 138. ROZ-KaMeo Industrial Area, Sohna - 122103 (Distt.Mewat), Haryana**

**Phones: 0124-2362471 Email: mail@advikindia.com Website: www.advikindia.com**



# ADVİK LABORATORIES LIMITED

## **COMPLIANCE CERTIFICATE FOR THE QUARTER ENDED 30<sup>th</sup> SEPTEMBER, 2022**

*(Pursuant to Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015)*

I, Pooja Chuni, Compliance Officer of Advik Laboratories Limited, am aware of the compliance requirement of Structured Digital Database (SDD) pursuant to provisions of Regulation 3(5) and 3(6) of **Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015** (PIT Regulations) and I certify that

1. the Company has a Structured Digital Database in place -YES
2. control exists as to who can access the SDD - YES
3. all the UPSI disseminated in the previous quarter have been captured in the Database - YES
4. the system has captured nature of UPSI along with date and time - YES
5. the database has been maintained internally and an audit trail is maintained - YES
6. the database is non-tamperable and has the capability to maintain the records for 8 years - YES

I also confirm that the Company was required to capture **02** number of events during the quarter ended 30<sup>th</sup> September, 2022 and has captured **02** number of the said required events.

**I would like to report that the following non-compliance(s) was observed in the previous quarter and the remedial action(s) taken along with timelines in this regard:**

Till the quarter ended September 30, 2022, the Structured Digital Database was maintained in excel sheet which was password protected, encrypted and managed by the designated employees only.

Now, we have installed the software “THE PIT ARCHIEVE” as required under Regulation 3(5) and 3(6) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015

Our Company is thus a compliant company of Regulation 3(5) and 3(6) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015.

**For Advik Laboratories Limited**

**POOJA CHUNI** Digitally signed by POOJA CHUNI  
Date: 2022.11.17 21:08:33 +05'30'

**Pooja Chuni**  
**Company Secretary & Compliance Officer**

Date: 17-11-2022

Place: New Delhi

**CIN No. : L74899HR1994PLC038300**

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