



ADVIK LABORATORIES LIMITED

Dated: 09-08-2022

**To,
The Manager (Listing)
BSE Limited
1st Floor, P.J. Towers
Dalal Street, Mumbai – 400001**

SUB: SUBMISSION OF COMPLIANCE CERTIFICATE FOR THE QUARTER ENDED 30TH JUNE 2022

Ref : BSE Scrip Code 531686; ASE Scrip Code- 01638 (ADVIK LABO)

Dear Sir,

Please find enclosed herewith the Compliance Certificate for the quarter ended 30th June, 2022 regarding maintenance of Structured Digital Database (SDD) pursuant to provisions of Regulation 3(5) and 3(6) of Securities and Exchange Board of India (Prohibition of insider Trading) Regulations, 2015 (PIT Regulations).

We hope that you will find the above in order. This is for your information and records please.

Thanking you.

Yours Truly,

For Advik Laboratories Limited


**Pooja Chuni
Company Secretary**



Company Secretary & Compliance Officer

Encl: As above

CC:

**The Manager (Listing)
Ahmedabad Stock Exchange Limited
1st Floor, Kamdhenu Complex
Opp. Sahajanand College,
Panjara Pole, Ambawadi, Ahmedabad – 380015**

CIN No. : L74899HR1994PLC038300

Corporate Office : 703, Arunachal building 19, Barakhamba Road, Connaught Place, New Delhi 110001

Phones: 011-42424884, 43571040-45, Fax: 011-43571047

Regd. Office & Factory: 138. ROZ-KaMeo Industrial Area, Sohna - 122103 (Distt.Mewat), Haryana

Phones: 0124-2362471 Email: mail@advikindia.com Website: www.advikindia.com



ADVIK LABORATORIES LIMITED

COMPLIANCE CERTIFICATE FOR THE QUARTER ENDED 30TH JUNE, 2022

(Pursuant to Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015)

I, Pooja Chuni, Compliance Officer, have examined the following compliance requirement of Advik laboratories Limited (Company) and certify that the Company has maintained a Structured Digital Database (SDD) pursuant to provisions of Regulation 3(5) and 3(6) of **Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015** (PIT Regulations):

Sr. No	Compliance Requirement	Yes/No	Observation/ Remark
1.	Whether the Company has a Structured Digital Database in place?	YES	The Structured Digital Database is being maintained on personal computer allocated to the undersigned in Excel format. The file is password encrypted. Though the same is maintained manually, yet we are in the process of processing appropriate software.
2.	Whether control exists as to who can access the SDD for read/ write along with the names and PAN of such person?	YES	The data is maintained in an excel sheet which is password encrypted and being managed by the undersigned.
3.	Whether all the UPSI had been captured in the Database. If not details of events that had not been captured and the reason for the same?	YES	-do-
4.	Whether the recipients were upfront informed that the information which they will be receiving shortly is UPSI and the entry has been captured in the Database prior to forwarding the UPSI data. If not details of events that have not been captured and the reason for the same?	YES	E-mails were sent to all designated persons and connected persons. The same has been captured in Excel sheet which is password encrypted and being maintained by undersigned.
5.	Whether nature of UPSI have been captured along with date and time?	YES	The nature of UPSI information has been captured along with date and time in Excel Sheet which is password encrypted and being maintained by undersigned.
6.	Whether name of persons who have shared the information has been captured along with PAN or any other identifier?	YES	-do-
7.	Whether name of persons with whom information is shared has been captured along with PAN or any other identifier?	YES	-do-
8.	Whether the database has been maintained internally?	YES	The UPSI data has been maintained in Excel Sheet which is password encrypted and on the Computer system allocated to the undersigned.
9.	Whether audit trail is maintained?	NO	The Company is in the process of procuring appropriate software

CIN No. : L74899HR1994PLC038300

Corporate Office : 703, Arunachal building 19, Barakhamba Road, Connaught Place, New Delhi 110001

Phones: 011-42424884, 43571040-45, **Fax:** 011-43571047

Regd. Office & Factory: 138. ROZ-KaMeo Industrial Area, Sohna - 122103 (Distt.Mewat), Haryana

Phones: 0124-2362471 **Email:** mail@advikindia.com **Website:** www.advikindia.com



ADVIK LABORATORIES LIMITED

10.	Whether time stamping is maintained?	NO	The Company is in the process of procuring appropriate software
11.	Whether the database is non-tamperable?	YES	The Excel file is password encrypted and only the undersigned has an access.
12.	Any other measures to ensure non-tamperability of the Database?	YES	The Company is in the process of procuring appropriate software.

Note: The information of the audit should cover the period when such information was inserted in the SDD upto the date of disclosure.

The number of days for which non-compliance was observed: **N.A.**

Further, I also confirm that the Company was required to capture 3 (Three) number of events during the quarter ended 30th June, 2022 and has captured 3 (Three) number of the said required events.

For Advik Laboratories Limited


Pooja Chuni
Company Secretary



Company Secretary & Compliance Officer

Date- 09-08-2022

Place- New Delhi

CIN No. : L74899HR1994PLC038300

Corporate Office : 703, Arunachal building 19, Barakhamba Road, Connaught Place, New Delhi 110001

Phones: 011-42424884, 43571040-45, **Fax:** 011-43571047

Regd. Office & Factory: 138. ROZ-KaMeo Industrial Area, Sohna - 122103 (Distt.Mewat), Haryana

Phones: 0124-2362471 **Email:** mail@advikindia.com **Website:** www.advikindia.com