



ADVIK LABORATORIES LIMITED

Dated: 25.05.2022

To,
The Manager (Listing),
BSE Limited,
1st Floor, P. J. Towers,
Dalal Street,
Mumbai – 400001

Subject : Submission of Annual Secretarial Compliance Report for the Year Ended
31st March, 2022

Ref: BSE Scrip Code– 531686; ASE Scrip Code– 01636 (ADVIK LABO)

Dear Sir,

Please find enclosed herewith the Annual Secretarial Compliance Report for the year ended 31st March, 2022 in the prescribed format as per the requirements of Regulation 24 A of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 for your kind perusal.

We hope that you will find the above in order.

This is for your information and records please.

Thanking You.

Yours Faithfully,

For Advik Laboratories Limited


Pooja Chuni
Company Secretary



Encl: As Above

CC:

The Manager (Listing)
Ahmedabad Stock Exchange Ltd.,
1st Floor, Kamdhenu Complex,
Opp. Sahajanand College, Panjarapole,
Ambawadi, Ahmedabad - 380015

CIN No. : L74899HR1994PLC038300

Corporate Office : 703, Arunachal building 19, Barakhamba Road, Connaught Place, New Delhi 110001

Phones: 011-42424884, 43571040-45, Fax: 011-43571047

Regd. Office & Factory: 138. ROZ-KaMeoIndustrial Area, Sohna - 122103 (Distt.Mewat), Haryana
Phones: 0124-2362471 Email:mail@advikindia.com Website: www.advikindia.com



**Secretarial compliance report of Advik Laboratories Limited
for the year ended 31st March, 2022**

We, Kundan Agrawal & Associates, Company Secretaries having FRN: S2009DE113700 and office at E-21, Office No.301, Jawahar Park, Laxmi Nagar New Delhi-110092(India) and have examined:

- (a) all the documents and records made available to us and explanation provided by “**ADVIK LABORATORIES LIMITED**” (“the listed entity”),
- (b) the filings/ submissions made by the listed entity to the stock exchanges,
- (c) website of the listed entity,
- (d) any other document/ filing, as may be relevant, which has been relied upon to make this certification,

for the year ended **31st March, 2022** (“Review Period”) in respect of compliance with the provisions of :

- (a) the Securities and Exchange Board of India Act, 1992 (“SEBI Act”) and the Regulations, circulars, guidelines issued thereunder; and
- (b) the Securities Contracts (Regulation) Act, 1956 (“SCRA”), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India (“SEBI”);

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018; **(Not applicable during the period under review).**
- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011; **(To the extent applicable on the company for the period under review).**
- (d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018 **(Not applicable during the period under review).**
- (e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014 **(Not applicable during the period under review).**





Kundan Agrawal & Associates

Company Secretaries

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- (f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations,2008(**Not applicable during the period under review**).
- (g) Securities and Exchange Board of India(Issue and Listing of Non- Convertible and Redeemable Preference Shares)Regulations,2013(**Not applicable during the period under review**).
- (h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations,2015;(To the extent applicable on the company for the period under review).
and circulars/ guidelines issued thereunder;

And based on the above examination,we hereby report that, during the review period:

- (a) The entity is listed on Bombay Stock Exchange (BSE) and Ahmedabad Stock Exchange.
- (b) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder, except in respect of matters specified below:-

Sr.No	Compliance Requirement (Regulations/ circulars / guidelines including specific clause)	Deviations	Observations/ Remarks ofthe Practicing Company Secretary
1.	Regulation 14 –Non Payment of Annual Listing Fees	Not Paid for the financial year 2021-2022	The Company has defaulted in payment of Annual Listing Fees to BSE for the financial year 2021-2022.As per the explanations provided to us by the management of the Company, the company is trying to arrange necessary funds to clear its outstanding dues of BSE.

- (c) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder insofar as it appears from our examination of those records.
- (d) The following are the details of actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (*including under the Standard Operating Procedures issued by SEBI through various circulars*) under the aforesaid Acts/ Regulations and circulars/ guidelines issuedthereunder:-





Kundan Agrawal & Associates

Company Secretaries

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Sr. No.	Action taken by	Details of violation	Details of action taken E.g. fines, warning letter, debarment, etc.	Observations/ remarks of the Practicing Company Secretary, if any.
1.	BSE	No violation of regulation*	BSE has restricted the trading of the company on account of Graded Surveillance Measure (GSM) under Stage IV.	

(e) The listed entity has taken the following actions to comply with the observations made in previous reports:

Sr. No.	Observations of the Practicing Company Secretary in the previous reports	Observations made in the secretarial compliance report for the year ended 31.03.2021 <i>(The years are to be mentioned)</i>	Actions taken by the listed entity, if any	Comments of the Practicing Company Secretary on the actions taken by the listed entity
1.	NIL	NIL	NIL	NIL

For Kundan Agrawal & Associates
Company Secretaries



Kundan Agrawal

Company Secretary

Membership No. 7631

C.P. No. 8325

UDIN: F007631D000313387

Place: Delhi

Date: 13/05/2022