



# ADVIK LABORATORIES LIMITED

Dated: 16/06/2021

To,  
The Manager (Listing),  
BSE Limited,  
1st Floor, P. J. Towers,  
Dalal Street, Mumbai - 400001

**Subject :** Submission of Annual Secretarial Compliance Report duly signed by the Practicing Company Secretary for the year ended 31<sup>st</sup> March, 2021.

**Ref :** BSE - Scrip Code - 531686, ASE Scrip Code- 01636 (ADVIK LABO)

Dear Sir,

Pursuant to Regulation 24A of the SEBI (Listing Obligation and Disclosure Requirements) Regulations, 2015 read with SEBI Circular No. CIR/CFD/CMD1/27/2019 dated February 08, 2019, please find enclosed herewith "Annual Secretarial Compliance Report" for the year ended 31<sup>st</sup> March, 2021 duly signed by Kundan Agrawal & Associates, Practicing Company Secretary for your kind perusal.

We hope that you will find the above in order.

Kindly take the aforesaid in your records

Yours Faithfully,  
For Advik Laboratories Limited

  
Pooja Chuni  
Company Secretary



Encl : As Above

CC.:

The Manager (Listing),  
Ahmedabad Stock Exchange Limited,  
1st Floor, Kamdhenu Complex,  
Opp. Sahajanand College  
Panjara Pole, Ambadadi,  
Ahmedabad - 380015

CIN No. : L74899HR1994PLC038300

Corporate Office : 703, Arunachal building 19, Barakhamba Road, Connaught Place, New Delhi 110001

Phones: 011-42424884, 43571040-45, Fax: 011-43571047

Regd. Office & Factory: 138. ROZ-Ka Meo Industrial Area, Sohna - 122103 (Distt.Mewat), Haryana

Phones: 0124-2362471 Email: mail@advikindia.com Website: www.advikindia.com



**Secretarial Compliance Report of Advik Laboratories Limited**  
**for the year ended 31<sup>st</sup> March, 2021**

**We, Kundan Agrawal & Associates, Company Secretaries having FRN: S2009DE113700 and office at 193, First floor, Shakti Khand 3 (near One mall) Indirapuram, Ghaziabad U.P. India 201014 and have examined:**

- (a) all the documents and records made available to us and explanation provided by “**ADVIK LABORATORIES LIMITED**”(“the listed entity”),
- (b) the filings/ submissions made by the listed entity to the Stock Exchanges,
- (c) website of the listed entity,
- (d) any other document/ filing, as may be relevant, which has been relied upon to make this certification,

for the year ended **31<sup>st</sup> March, 2021** (“Review Period”) in respect of compliance with the provisions of :

- (a) the Securities and Exchange Board of India Act, 1992 (“SEBI Act”) and the Regulations, circulars, guidelines issued thereunder; and
- (b) the Securities Contracts (Regulation) Act, 1956 (“SCRA”), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India (“SEBI”);

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018; **(Not applicable during the period under review).**
- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011; **(To the extent applicable on the company for the period under review).**
- (d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018 **(Not applicable during the period under review).**
- (e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014 **(Not applicable during the period under review).**





- (f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008 (**Not applicable during the period under review**).
- (g) Securities and Exchange Board of India (Issue and Listing of Non- Convertible and Redeemable Preference Shares) Regulations, 2013 (**Not applicable during the period under review**).
- (h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015; (**To the extent applicable on the company for the period under review**).
- and circulars/ guidelines issued thereunder;

And based on the above examination, we hereby report that, during the review period:

- (a) The entity is listed on Bombay Stock Exchange (BSE) and Ahmedabad Stock Exchange.
- (b) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder, except in respect of matters specified below:-

Sr.No	Compliance Requirement (Regulations/ circulars / guidelines including specific clause)	Deviations	Observations/ Remarks of the Practicing Company Secretary
1.	Nil	Nil	Nil

- (c) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder in so far as it appears from our examination of those records.
- (d) The following are the details of actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (*including under the Standard Operating Procedures issued by SEBI through various circulars*) under the aforesaid Acts/ Regulations and circulars/ guidelines issued there under during the year under review:-

Sr. No.	Action taken by	Details of violation	Details of action taken E.g. fines, warning letter, debarment, etc.	Observations/ remarks of the Practicing Company Secretary, if any.
1.	BSE	No violation of regulation*	BSE has restricted the trading of the company on account of Graded Surveillance Measure (GSM) under Stage IV.	





## Kundan Agrawal & Associates

Company Secretaries

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Mobile: 09212467033, 09999415059

E-mail: agrawal.kundan@gmail.com

(e) The listed entity has taken the following actions to comply with the observations made in previous reports:

Sr. No.	Observations of the Practicing Company Secretary in the previous reports	Observations made in the secretarial compliance report for the year ended... (The years are to be mentioned)	Actions taken by the listed entity, if any	Comments of the Practicing Company Secretary on the actions taken by the listed entity
1.	Regulation 14 – Non Payment of Listing Fees	2019-2020	The company had arranged the necessary funds during the year under review and paid its outstanding annual listing fees for the Financial Years 2019-2020 and 2020-2021 on 04/02/2021	The non compliance of Regulation 14 w.r.t. Non Payment of Listing Fees of BSE was made good by the Company and hence, BSE has defreezed the demat accounts of its promoters and promoter group as well as suspension of the trading of company's scrips on BSE web portal has also been revoked by BSE.

Place: Ghaziabad  
Date: 08/06/2021

For Kundan Agrawal & Associates  
Company Secretaries



**Kundan Agrawal**  
Company Secretary  
Membership No. 7631  
C.P. No. 8325  
UDIN: F007631C000431551